

SOC 2 Audit Reporting Services

Ensure your customer's confidence with a SOC 2 report.

More and more administrative and information technology services are being outsourced to third-party vendors. Because information security threats are increasing, this poses a unique concern for those who outsource their business operations. As a third-party vendor, it's important for your customers to know how you manage and protect their data.

Who We Serve

- Collections Industries
- Service Organizations
- Data Centers
- Software Providers/Developers
- Managed Service Providers
- Payroll Administrators
- Print and Mail Fulfillment

What is SOC 2?

Developed by the American Institute of CPAs (AICPA), System and Organization Controls (SOC) 2 reports are designed to show that you securely manage your customers' data to protect their interests and the privacy of their clients.

A SOC 2 report provides assurance that the security controls you have in place are designed correctly and operating effectively to protect your customers' systems or data you can access.

SOC 2 Reporting Made Easier

Sword & Shield Enterprise Security *partners with you* to make SOC 2 reporting easier. We apply our more than 20 years of information security and compliance knowledge to take the burden off you, so you can focus on your business.

Types of SOC 2 Reports

Sword & Shield is ready to assist you with any of your SOC 2 audit needs, including the following types of SOC 2 reports*:

RA	Readiness Assessment Ideal for any service organization new to the SOC framework. Assists in determining the company's preparedness for a SOC engagement.
1	Type 1 Details your systems and if and how they comply with relevant trust principles as of a point in time. Examines the fairness of your representation of internal controls or suitability of design.
2	Type 2 Evaluates the fairness of your internal controls description and the suitability of design. Also describes your systems and the operational effectiveness of your controls over a period of time.



About Us

Securing business for more than 20 years, Sword & Shield Enterprise Security, Inc. partners with our customers to meet the needs of their dynamic cybersecurity and compliance landscape.

We work closely with companies to become tightly integrated with their enterprise operations in the areas of managed security, risk and compliance, enterprise security consulting, security incident response and forensics, and security training.

Recognized nationally and headquartered in Knoxville, Tennessee, Sword & Shield has offices throughout the US. Sword & Shield services a broad spectrum of industries, including healthcare, retail, media, banking and finance, legal and manufacturing.

Just Ask

Sword & Shield has vast experience in virtually every area of information security and compliance. If you need a service in these areas not specifically named in a description, the chances are we do it, and we do it well. So, just ask.

SOC 2 Trust Service Principles

SOC 2 reports are unique to each organization, aligned with your specific business practices. Sword & Shield helps you to determine which of the five Trust Service Principles are applicable for your business to safely manage your customer data based on the following:

- 01 Security**
The system is protected against both physical and logical unauthorized access.
- 02 Availability**
Information and systems are available for operation and use as committed to or agreed upon.
- 03 Processing Integrity**
System processing is complete, accurate, timely, and authorized to meet the entity's objectives.
- 04 Confidentiality**
Information designated as confidential is protected as committed to or agreed upon.
- 05 Privacy**
Personal information is collected, used, retained, disclosed, and/or disposed of in accordance with established standards.

A system is comprised of the infrastructure, software, people, procedures, and data used to complete the services provided.

Why Sword & Shield Enterprise Security

Technology firms that dabble in security tell you what you're doing wrong (or not doing at all) and walk away, leaving you without a plan of action. Sword & Shield partners with you to become an integral part of your cybersecurity and compliance program by not only identifying gaps and vulnerabilities, but also continuing to work with you to achieve and maintain a secure and compliant environment.

In short, Sword & Shield's depth and breadth of expertise in every aspect of our comprehensive portfolio of security services, coupled with our customer-first approach, empowers us to provide tailored solutions and personalized support for small to enterprise level companies. We strive to make your security and compliance initiatives as easy as possible on you.

This is what sets us apart from other information security and compliance firms.

*Reporting provided by Assure Professional, LLC, Green Bay, WI.

Knoxville, Tennessee

1431 Centerpoint Boulevard
Suite 150
Knoxville, TN 37932
Phone: 800.810.1855

Nashville, Tennessee

1517 Hunt Club Boulevard
Suite 200
Gallatin, Tennessee 37066
Phone: 615.928.1990

Portland, Oregon

1220 Main Street
Suite 400
Vancouver, WA 98660
Phone: 360.567.2587

Washington, DC

1655 N. Fort Myer Drive
Suite 700
Arlington, VA 22209
Phone: 703.382.6316

www.swordshield.com
sales@swordshield.com

